

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Jayendu Patel	Art Unit :	3623
Serial No. :	10/643,439	Examiner :	Beth Van Doren
Filed :	August 19, 2003	Conf. No. :	4428
Title :	Statistical Personalized Recommendation System		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

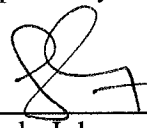
Applicant discloses the documents listed in the attached form PTO-1449, copies of which are enclosed

Under 37 C.F.R 1.98(a)(2)(ii) copies of U.S. Patents are not required. Therefore, we have not submitted copies of the U.S. Patents. However, we will provide copies at the Examiner's request.

This statement is being filed along with the Request for Continued Examination. The Request for Continued Examination fee in the amount of \$395.00 is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any charges or credits to Deposit Account No. 50-4189, referencing Attorney Docket Number 30003-002001.

Respectfully submitted,

Date: 8/20/07



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 30003-002001	Application No. 10/643,439
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Jayendu Patel	
		Filing Date August 19, 2003	Group Art Unit 3623

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	10/388,362	03/12/2003	Daniel E. Rose			
	AB	5,724,567	03/03/1998	Rose, et al.			
	AC	5,619,709	04/08/1997	Caid et al.			
	AD	5,504,896	04/02/1996	Schell, et al.			
	AE	5,446,891	08/29/1995	Kaplan, et al.			
	AF	5,377,354	12/27/1994	Scannell et al.			
	AG	5,333,266	07/26/1994	Boaz et al.			
	AH	5,321,833	06/14/1994	Chang et al.			
	AI	5,167,011	11/24/1992	Priest			
	AJ	5,132,900	07/21/1992	Gilchrist, et al.			
	AK	5,107,419	04/21/1992	MacPhail			
	AL	4,775,935	10/04/1988	Yourick			
	AM	5,576,954	11/1996	Driscoll, Jim			
	AN	11/499,819	08//13/2006	Rose et al.			
	AO	6,202,058	3/13/2001	Rose et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AP	WO/1995/029451	11/2/1995	WIPO				
	AQ							
	AR							
	AS							
	AT							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	"Announcement of Bellcore Video Rating System," (November 1, 1993)

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AV	Belew, Richard K., "Adaptive Information Retrieval: Using A Connectionist Representation to Retrieve and Learn About Documents," 12 th Int'l Conference on Research & Development in IR (1989)
	AW	Bookstein, Abraham, "Fuzzy Requests: An Approach To Weighted Boolean Searches," Journal of the American Society for Information Science, Vol. 31, No. 4, pp. 240-247 (1980)
	AX	Bussey, Howard E. et al., "Service Architecture, Prototype Description, And Network Implications Of A Personalized Information Grazing Service," IEEE INFOCOM Vol. 3, pp. 1046-1053 (1990)
	AY	Chang, Shih-Chio et al., "And-Less Retrieval Toward Perfect Ranking," Proceedings of the 50 th ASIS Annual Meeting, Vol. 24 p. 30-35 (1987)
	AZ	Chang, Shih-Chio et al., "Towards A Friendly Adaptable Information Retrieval System," Proceedings of the RIAO pp. 172-182 (1988)
	AAA	Fischer, Gerhard et al., "Information Access in Complex, Poorly Structured Information Spaces," CHI '91 Proceedings, pp. 63-70 (1991)
	ABB	Goldberg, David et al., "Using Collaborative Filtering to Weave an Information Tapestry," Communication of the Association for Computer Machinery, Vol. 35, No. 12, pp. 61-70 (1992)
	ACC	Jacobs, Paul S. et al., "Scissor: Extracting Information From On-Line News," Communications of the Association for Computing Machinery, Vol. 33, No. 11, pp.88-97 (1990)
	ADD	Jennings, Andrew et al., "A Personal news Service Based on a User Model Neural Network," IEICE Transactions on Information and Systems, Vol. E75-D, No.2, pp. 198-209 (1992)
	AEE	Jennings, Andrew et al., "Customer Adaptive Communication Services," IEEE Region 10 International Conference, Vol. 2, pp. 886-890 (1992)
	AFF	Kantardzic, M. et al., "Graphical Knowledge Based Electronic Mail System," IEEE Conference, pp. 1165-1168 (1991)
	AGG	Karlgren, Jussi, "Using Reader Data as a Basis for Measuring Document Proximity," An Algebra for Recommendations pp.1-9
	AHH	Malone, Thomas W. et al., "The Information Lens: An Intelligent System for Information Sharing in Organizations," CHI '86 Proceedings pp.1-8 (1986)
	AII	Mooney, R. J., Bennett, P. N., et al. Book recommending using text categorization with extracted information. Proceedings of the AAAI Workshop on Recommender Systems pp. 70-74, Madison, WI: AAAI Press
	AJJ	Mukhopadhyay, Uttam, et al., "An Intelligent System for Document Retrieval In Distributed Office Environments," Journal of the American Society for Information Science, Vol. 37, No. 3, pp. 123-135 (1986)
	AKK	Reynolds, C.F., "On-Line Review: A New Application of the HICOM Conferencing System," IEEE Colloquium on Human Factors in Electronic Mail and Conferencing Systems, Digest No. 20, pp. 1-4 (1989)
	ALL	Rothman, Matt, "A New Music Retailing Technology says, 'Listen Here'," The New York Times pp F8-9 (Sunday July 4, 1993)
	AMM	Salton, Gerard et al., "Extended Boolean Information Retrieval," Communications of the ACM, Vol. 26, No. 11, pp. 1022-1036 (1983)
	ANN	Savoy, Jacques, "Searching Information in Hypertext Systems Using Multiple Sources of Evidence," International Journal of Man-Medicine Studies, Vol. 38, No. 5, pp. 1017-1030 (1993)

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Examiner Initial	Desig. ID	Document
	AOO	Sheth, Beerud et al., "Evolving Agents for Personalized Information Filtering," Proceedings of the Ninth IEEE Conference on Artificial Intelligence for Applications, pp. 345-352 (1993)
	APP	Spoerri, Anselm, "Visual Tools For Information Retrieval," IEEE Conference, pp. 160-168 (1993)
	AQQ	Stanfill, Craig, "Massively Parallel Information Retrieval for Wide Area Information Servers," IEEE International Conference on Systems, Man, and Cybernetics, Vol. 1, pp. 679-682 (1991)
	ARR	Terry, Douglas B., "Replication In An Information Filtering System," IEEE Conference, pp. 66-67 (1992)
	ASS	Wyle, M.F. et al., "A Wide Area Network Information Filter," IEEE Conference, pp. 10-15 (1991)

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